

**Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

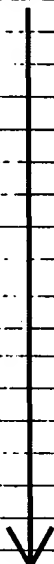
**(use as many sheets as necessary)**

Sheet	1	of	2
-------	---	----	---

**Complete if Known**

Application Number	09/753,220
Filing Date	December 29, 2000
First Named Inventor	Joshua L. Coates
Art Unit	2188
Examiner Name	Kevin L. Ellis
Attorney Docket Number	42390P19172X4

## U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
	KE 1	US-6,360,306 B1	03-19-2002	Bergsten	
	2	US-6,356,929 B1	03-12-2002	Gall et al	
	3	US-6,442,548	08-27-2002	Balabine et al	
	4	US-6,324,581 B1	11-27-2001	Xu et al	
	5	US-5,937,406	08-10-1999	Balabine et al	
	6	US-6,718,347 B1	04-06-2004	Wilson	
	7	US-5,708,832	01-13-1998	Inniss et al	
	8	US-6,128,627	10-03-2000	Mattis et al	
	9	US-2002/0010757	01-24-2002	Granik et al	
	10	US-5,692,155	11-25-1997	Iskiyan et al	
	11	US-6,327,614 B1	12-04-2001	Asano et al	
	12	US-6,351,775 B1	02-26-2002	Yu	
	13	US-6,393,466 B1	05-21-2002	Hickman et al	
	14	US-6,553,389 B1	04-22-2003	Golding et al	
	15	US-6,611,869 B1	08-26-2003	Eschelbeck et al	
	16	US-6,622,247 B1	09-16-2003	Isaak	
	17	US-6,704,838 B2	03-09-2004	Anderson	
	18	US-5,550,986	08-27-1996	DuLac	
	19	US-2002/0133491 A1	09-19-2002	Sim et al	
	KE 20	US-6,782,389 B1	08-24-2004	Chrin et al	

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Kevin Ellis/

Date	
Considered	

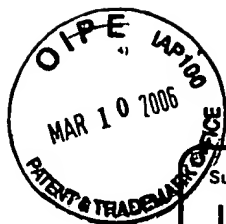
06/20/2006

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

\*Applicant's unique citation designation number (optional): See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/753,220		
		Filing Date	December 29, 2000		
		First Named Inventor	Joshua L. Coates		
		Art Unit	2188		
		Examiner Name	Kevin L. Ellis		
Sheet	2	of	2	Attorney Docket Number	42390P19172X4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
KE	21	US-6,654,772 B1	11-25-2003	Crow et al	
KE	22	US-6,895,418 B1	05-17-2005	Crow et al	
KE	23	US-6,931,450 B2	08-16-2005	Howard et al	
KE	24	US-6,912,548 B1	06-28-2005	Black	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code* - Number* - Kind Code* (if known)				

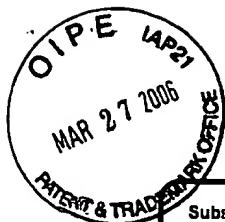
Examiner Signature	/Kevin Ellis/	Date Considered	06/20/2006
-----------------------	---------------	--------------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/753,220
				Filing Date	December 29, 2000
				First Named Inventor	Joshua L. Coates
				Art Unit	2188
				Examiner Name	Kevin L. Ellis
Sheet	1	of	1	Attorney Docket Number	42390P19172X4

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T†
KE		KLINE: Distributed File Systems for Storage Area Networks; Advanced Digital Info Corp; 11 pages		
KE		Apache-SSL: Certificates, Configuration and More Information Systems and Technology ; University of Waterloo; 9-15-1998; 16 pages		
KE		SCHROEDER: Implementing Multi-Protocol Authentication/Security Systems; Grid (GSI) Secure Socket Layer (X.509 Certificates), Kerberos, DCE, and Custom Systems for Secure Distributed Data Access; 7-19-1999; 4 pages		
KE		BEA WebLogic SSL; Server 4.5; 20 pages		
KE		Certificate to LDAP Mapping in Netscape Servers, Rev 1.2; 8-28-1997; Structured Arts Technical Notes		

Examiner Signature	/Kevin Ellis/	Date Considered	06/20/2006
--------------------	---------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zatman (wtr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450